

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. 12FE4M5

Chicago Board Options Exchange PAC

ADDRESS (number and street) ▼

400 S. LaSalle Street

☐ Check if different than previously reported. (ACC)

Chicago

IL

60605

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲

C C00100693

3. IS THIS REPORT

☒

NEW (N)

OR

☐

AMENDED (A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- ☐ April 15 Quarterly Report (Q1)
- ☐ July 15 Quarterly Report (Q2)
- ☐ October 15 Quarterly Report (Q3)
- ☐ January 31 Year-End Report (YE)
- ☐ July 31 Mid-Year Report (Non-election Year Only) (MY)
- ☐ Termination Report (TER)

(b) Monthly Report Due On:

- ☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☐ Nov 20 (M11) (Non-Election Year Only)
- ☒ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12) (Non-Election Year Only)
- ☐ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day PRE-Election Report for the:

- ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
- ☐ Convention (12C) ☐ Special (12S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

(d) 30-Day POST-Election Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y  
02 01 2016

through

M M M / D D D / Y Y Y Y Y Y  
02 29 2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Alan Dean

Signature of Treasurer

Alan Dean

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y  
03 16 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Chicago Board Options Exchange PAC

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
02 01 2016 To: M M / D D / Y Y Y Y Y Y  
02 29 2016

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2016</span>		<span style="border: 1px solid black; padding: 2px;">325426.37</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">232745.23</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">12485.05</span>	<span style="border: 1px solid black; padding: 2px;">20063.74</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">245230.28</span>	<span style="border: 1px solid black; padding: 2px;">345490.11</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">-7307.98</span>	<span style="border: 1px solid black; padding: 2px;">92951.85</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">252538.26</span>	<span style="border: 1px solid black; padding: 2px;">252538.26</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Chicago Board Options Exchange PAC

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y  
02 01 2016

To:

M M / D D / Y Y Y Y Y  
02 29 2016
**I. Receipts**
**COLUMN A**  
**Total This Period**
**COLUMN B**  
**Calendar Year-to-Date**

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

9030.20

9238.54

(ii) Unitemized .....

3195.02

10211.90

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ►

12225.22

19450.44

(b) Political Party Committees .....

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ..... ►

12225.22

19450.44

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

259.83

613.30

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

## 18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5) .....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c))..... ►

12485.05

20063.74

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ..... ►

12485.05

20063.74

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	192.02	451.85
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	192.02	451.85
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	-7500.00	92500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	-7307.98	92951.85
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	-7307.98	92951.85

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	12225.22	19450.44
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	12225.22	19450.44
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	192.02	451.85
37. Offsets to Operating Expenditures (from Line 15, page 3).....	259.83	613.30
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	-67.81	-161.45

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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☒ 11a    ☐ 11b    ☐ 11c    ☐ 12  
☐ 13    ☐ 14    ☐ 15    ☐ 16    ☐ 17

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Edward Barry**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Managing PAR Official

Receipt For:

☐ Primary    ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 29 / 2016
**Transaction ID : AD656A88B4CFF440EAF1**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Thomas Brady**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

VP

Receipt For:

☐ Primary    ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 29 / 2016
**Transaction ID : A4289EC338B0441A0A04**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Nicolette Brongel**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Manager

Receipt For:

☐ Primary    ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 29 / 2016
**Transaction ID : A7177B2E8C6EE4BC8A06**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

375.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Paul Ciciora**

Mailing Address 400 S. La Salle St.

City State Zip Code  
 Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

It Dept. Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : AF538C5F79DC84C67920**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Catherine R. Clay**

Mailing Address 400 S. LaSalle St.

City State Zip Code  
 Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Vice President, Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

260.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A593239E3B10F4C1BA17**

Amount of Each Receipt this Period

130.00

☐ Memo Item

Payroll Deduction: \$65.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Alan Dean**

Mailing Address 400 S La Salle St

City State Zip Code  
 Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

CFO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.68

Date of Receipt

02 / 29 / 2016

**Transaction ID : A55F8760EF1D042B7A8F**

Amount of Each Receipt this Period

208.34

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

463.34

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Angelo Evangelou**

Mailing Address 400 S. LaSalle Street

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Attorney

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : ADDD4E4066EA340D3A65**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Eric Frait**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A6A8AA087FB9F4C689BA**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Todd Furney**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

VP Security Systems

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : ADE08072034A74D1B840**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

375.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Alicia Goldberg**

Mailing Address 400 S. LaSalle St.

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Vice President, Statistical Analysis

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A2B5FFDFC8C6E4FE1846**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Jennifer L. Golding**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Senior Attorney

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A9CC06CBC77A14F15A5F**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**c. Carol E. Kennedy**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

V. P. Public Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A53E6D0B902EA470AB7B**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

375.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 19  
(check only one)  
☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Thomas P. Knorring**

Mailing Address 400 S La Salle St

City State Zip Code  
Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

VP Trading Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A3C4C5A70917540208FD**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Deborah L. Koopman**

Mailing Address 400 S La Salle St

City State Zip Code  
Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

VP Investor Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A3B3E19E965684F3D9C0**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Christopher Lorenzen**

Mailing Address 921 N. Dearborn St.

City State Zip Code  
Chicago IL 60610-3303

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Eagle Seven

Occupation

CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

02 / 16 / 2016

**Transaction ID : A4622EB7E9BCD4BFFA44**

Amount of Each Receipt this Period

5000.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

5250.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. James F. Lubin**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Managing Director-CFE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A8C7F69E471A844AD818**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Joanne Moffic-Silver**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

02 / 29 / 2016

**Transaction ID : A8FA898F55EF24795B80**

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Michael Mollet**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Business Development Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.40

Date of Receipt

02 / 29 / 2016

**Transaction ID : A23D277CC749C457E9A3**

Amount of Each Receipt this Period

125.20

☐ Memo Item

Payroll Deduction: \$62.60/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

416.86

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Gerald T. O'Connell**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

CIO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A689864DF8D76483B8D1**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. LuAnn O'Shea**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Chicago Board Options Exchange

Occupation

VP Facilities

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A78694927671744D7A68**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Donald Patton**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

VP Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A566249377E2E4DA3AF9**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

375.00

# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 13 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Chicago Board Options Exchange PAC

Full Name (Last, First, Middle Initial)

### A. Debra Peters

Mailing Address 400 S La Salle St.

City State Zip Code  
Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

Transaction ID : A0EAD271A569149FCB35

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

### B. Roberta J. Piwnicki

Mailing Address 400 S La Salle St

City State Zip Code  
Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

02 / 29 / 2016

Transaction ID : AF30CBFBF0F084EF482B

Amount of Each Receipt this Period

150.00

☐ Memo Item

Payroll Deduction: \$75.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

### C. Arthur Reinstein

Mailing Address 400 S La Salle St

City State Zip Code  
Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Attorney

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

Transaction ID : AF0F165F71E5D4401925

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

400.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. John P. Sexton**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Attorney

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : ADCA4E7687F43481B89E**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. William Speth**

Mailing Address 400 S. La Salle St.

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Director Of Research

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A1F241FFD4C1342528DB**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Paul Stephens**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Marketing Director

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.00

Date of Receipt

02 / 29 / 2016

**Transaction ID : A40324B24D37C4A7A836**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

375.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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☒ 11a    ☐ 11b    ☐ 11c    ☐ 12  
☐ 13    ☐ 14    ☐ 15    ☐ 16    ☐ 17

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Michael Todd**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

VP Systems Operations

Receipt For:

☐ Primary    ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 29 / 2016
**Transaction ID : A852F46867B064A77B50**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Michael Todorofsky**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Chicago Board Options Exchange

Occupation

V.p. Market Operations

Receipt For:

☐ Primary    ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 29 / 2016
**Transaction ID : A2761467B31E34E34966**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. John A. Tornatore**

Mailing Address 400 S La Salle St

City

Chicago

State

IL

Zip Code

60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

Director

Receipt For:

☐ Primary    ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
02 / 29 / 2016
**Transaction ID : A99AE4A0CC97F4204917**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

375.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 16 OF 19  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Michael Trees**

Mailing Address 400 S La Salle St

City	State	Zip Code
Chicago	IL	60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CBOE

Occupation

VP of Trading Systems Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M	/	D D	/	Y Y Y Y Y Y
02	/	29	/	2016

Transaction ID : AF35C40EAFDD141029AB

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Deborah Woods**

Mailing Address 400 S La Salle St

City	State	Zip Code
Chicago	IL	60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Chicago Board Options Exchange

Occupation

VP Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M	/	D D	/	Y Y Y Y Y Y
02	/	29	/	2016

Transaction ID : AFEE0B93F827043569DD

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City	State	Zip Code
------	-------	----------

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M	/	D D	/	Y Y Y Y Y Y
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Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

250.00

TOTAL This Period (last page this line number only)..... ►

9030.20



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☐ 13 ☐ 14 ☒ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

## **A. Chicago Board Options Exchange**

Mailing Address 400 S La Salle St

City State Zip Code  
 Chicago IL 60605-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

613.30

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 02 / 13 / 2016

**Transaction ID : A9F46B091D13F43EAA02**

Amount of Each Receipt this Period

259.83

☐ Memo Item

Reimbursement for January 2016 Bank Fees

Full Name (Last, First, Middle Initial)

## **B.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

259.83

259.83

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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☒ 21b    ☐ 22    ☐ 23    ☐ 24    ☐ 25    ☐ 26  
☐ 27    ☐ 28a    ☐ 28b    ☐ 28c    ☐ 29    ☐ 30b

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. Bank of America**

Mailing Address 100 N Tryon St

City Charlotte      State NC      Zip Code 28202-2135

Purpose of Disbursement  
Credit Card Processing Fees

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For:  
☐ Primary    ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y  
02 03 2016
**Transaction ID : B47935163153C4C6BB49**

Amount of Each Disbursement this Period

57.45

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Harris N.A.**

Mailing Address PO Box 755

City Chicago      State IL      Zip Code 60690-0755

Purpose of Disbursement  
Bank Fee

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For:  
☐ Primary    ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y  
02 03 2016
**Transaction ID : B31EDA25FA26F4DC49CA**

Amount of Each Disbursement this Period

36.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Harris N.A.**

Mailing Address PO Box 755

City Chicago      State IL      Zip Code 60690-0755

Purpose of Disbursement  
Bank Fee

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For:  
☐ Primary    ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y  
02 22 2016
**Transaction ID : BEF1E8053C2BA44CB892**

Amount of Each Disbursement this Period

98.57

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

192.02

192.02

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Chicago Board Options Exchange PAC**

Full Name (Last, First, Middle Initial)

**A. FRENCH HILL FOR ARKANSAS**

Mailing Address PO BOX 7841

City LITTLE ROCK	State AR	Zip Code 72217
---------------------	-------------	-------------------

Purpose of Disbursement  
VOID - Contribution to Committee dated 1/19/16

Candidate Name

**James French Hill**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: AR District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		03		2016

**Transaction ID : B4ABD3C4793874138802**

Amount of Each Disbursement this Period

-2500.00
----------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. STEVE FINCHER FOR CONGRESS**

Mailing Address PO BOX 11153

City Jackson	State TN	Zip Code 38308-0119
-----------------	-------------	------------------------

Purpose of Disbursement  
VOID - Contribution to Committee dated 1/19/16

Candidate Name

**Steve Fincher**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: TN District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		03		2016

**Transaction ID : B38C31C4A85BD4A5DB35**

Amount of Each Disbursement this Period

-2500.00
----------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. STIVERS FOR CONGRESS**

Mailing Address 4679 Winterset Drive

City Columbus	State OH	Zip Code 43220
------------------	-------------	-------------------

Purpose of Disbursement  
VOID - Contribution to Committee

Candidate Name

**Steve Stivers**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: OH District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
02		03		2016

**Transaction ID : B09C725444D614AC69B3**

Amount of Each Disbursement this Period

-2500.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

-7500.00
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-7500.00
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